

Serial No. **SIP****SUNDARAM BNP PARIBAS**
MUTUAL

Broker/Agent Name & Code	Sub-Broker's Name & Code

SIP Transaction Form & Common Transaction Form

This form can be used for all schemes of Sundaram BNP Paribas Asset Management Company.
 This form is for use of Existing Investors only. Use this form for • ADDITIONAL PURCHASE • REDEMPTION • SWITCH • DIRECT CREDIT • CHANGE OF ADDRESS / BANK DETAILS • E-MAIL • SIP/SWP • NOMINATION DETAILS Please use separate Transactions Form for each Scheme/ Option and Transaction.

Existing Unitholder Information

Folio Number				
Name of First Holder	Salutation <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/> Prof.	PAN Number *	MIN Number *	PA holder MIN No.*
Name of Second Holder	Salutation <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/> Prof.	PAN Number *	MIN Number *	PA holder MIN No.*
Name of Third Holder	Salutation <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr. <input type="checkbox"/> Prof.	PAN Number *	MIN Number *	PA holder MIN No.*

*Required if Amount Invested is Rs. 50,000/- and above (if not provided earlier)

Additional Purchase (see instruction)

Amount (in figures)	Rupees (in words) (Favouring respective scheme name is enclosed)	Scheme Name
Cheque/Draft No.	Cheque/Draft Dated	Drawn on (Name of Bank and Branch)

PAN No. Required for additional purchases of Rs.50,000 and above (if not provided earlier)

First Holder _____ Second Holder _____ Third Holder _____

Systematic Investment Plan

Each SIP amount

Scheme Name/Option

Frequency ☐ Monthly ☐ Quarterly

Period

Enrolment Period From ___/___/___ (dd/mm/yy) To ___/___/___ (dd/mm/yy)

Particulars of Bank Account

Bank Name

Branch Name

Account Number

Account Type

☐ Savings ☐ Current ☐ Cash Credit ☐ NRE ☐ NRO

9 digit MICR Code (Please enter 9 digit number that appears after your cheque number)

Accountholder Name as in Bank Account

Cheque Nos. From: _____ To: _____

Systematic Transfer Plan (STP) (see instruction)

I/We would like to switch to the following:

☐ From Scheme/Option ☐ To Scheme/Option

☐ Fixed Amount Rs. _____ OR ☐ Capital Appreciation

Frequency ☐ Monthly ☐ Quarterly

Period

Enrolment Period From ___/___/___ (dd/mm/yy) To ___/___/___ (dd/mm/yy)

Redemption/Switch (see instruction)

☐ Redemption ☐ Switch

Please redeem my/our Sundaram BNP Paribas Mutual units as per following details

Amount or Units (in figures) Rupees or Units (in words)

Please fill any one i.e. either Amount or number of Units.

I/We would like to switch to the following:

☐ From Scheme/Option ☐ To Scheme/Option

Amount (Rs.) _____ OR Units _____

Please note that the switch can be done either in Units of in Amount only and not both

Signatures

I/We have read and understood the contents of Offer Document(S) and Key Information Memorandum/Addenda issued till date, for the scheme(S) in which I am/are investing/transacting and agree to abide by the terms, conditions, rules and regulations of the scheme(S) as on date of this transaction and confirm that the funds invested in the scheme(S) legally belong to me/us. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this transaction.

Sole/First Holder _____

Second Holder _____

Third Holder _____

Date: _____

Acknowledgement Slip (to be filled in by the investor)

Name : _____

Scheme : _____ Folio No _____ Date : _____

Bank Account Number _____

Received from Mr./Ms./Dr./Prof. _____

☐ Additional Purchase or ☐ SIP: Total Amount (Rs.) _____ Total Cheque(s) _____ Cheque No.(s) _____

☐ Redemption or ☐ Switch: Amount (Rs.) _____ OR Units _____

☐ SWP ☐ STP ☐ DSP ☐ Change of Bank Account ☐ Change of Address ☐ Nomination Details

Service Centre
Signature & Stamp

Systematic Withdrawal Plan (SWP) (see instruction)

☐ Fixed Amount Rs. _____ OR ☐ Capital Appreciation
Scheme Name/Option _____

Frequency ☐ Monthly ☐ Quarterly

SWP will be processed on 1st working day of the month/quarter
Period _____

Enrolment Period From ____/____/____ (dd/mm/yy) To ____/____/____ (dd/mm/yy)

Dividend Sweep-in Plan (see instruction)

I/We would like to transfer the dividend to the following:

☐ From Scheme/Option ☐ To Scheme/Option

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E-Mail Communication

I wish to receive the following via e-mail instead of physical document (please ✓)

☐ Account Statement ☐ Quarterly Review & Annual Report

☐ Communication on Change of Address, Bank etc.

My E-mail ID _____

For Office Use only (Not to be filled in by investor)

Recorded on _____

Recorded by _____

Scheme Code _____

Credit Account Number _____

Change of Address

New Address _____ Following is ☐ Home ☐ Office

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State _____ Pin Code _____

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Office Tel _____ Resi. Tel. _____

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Change of Bank Account

Bank Account Number _____

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Please enclose a cancelled/photo copy of the cheque

Account Type ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ Others _____

Bank Name _____

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Bank Branch _____

	City	
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Direct Credit of Dividends/ Redemptions (available if you are banking with select banks)

I/We authorise Sundaram BNP Paribas Mutual Fund to credit dividend payouts
and redemptions pertaining to my/our account with:

☐ ABN Amro Bank ☐ Citi Bank ☐ ICICI Bank ☐ IDBI Bank ☐ HDFC Bank ☐ HSBC Bank

☐ Kotak Mahindra Bank ☐ Standard Chartered Bank ☐ UTI Bank ☐ Yes Bank

Please provide the bank account details in the Change of Bank Account section above

Nomination Details

Nominee Name & Address _____

Signatures:

If Nominee is a minor: Date of Birth of Nominee, _____

D	D	M	M	Y	Y	Y	Y
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First Holder _____

If minor, Guardian Name & Address _____

Second Holder _____

Third Holder _____

INSTRUCTIONS & INFORMATION**Systematic Investment Plan (SIP) Enrolment Form**

- This form needs to be filled in addition to the Common Application Form if you are a new Investor in the Schemes of Sundaram BNP Paribas Mutual Fund.
- You can invest a fixed amount every month or quarter. Minimum investment amount: Rs.500/- for Monthly and Rs.1,500/- for Quarterly any amount thereafter on a monthly/ quarterly basis.
- The cheques should be drawn in favour of the scheme name and crossed "a/c payee only" and made payable at any of the Investor Service Centres. On receipt of the post-dated cheques the Registrar and Share Transfer Agent will send a letter to the unit holder confirming that his or her name has been included in the SIP.
- All cheques must be dated the same day of each month. The cheques will be presented on the same or the next Working Day and units will be allotted at the applicable NAV.
- Investors with e-mail will receive statements only by e-mail.
- An updated Account Statement will subsequently be sent to the unit holder indicating the new balance to his or her credit in the account. For investors without e-mail ids, a consolidated statement will be sent every quarter.
- If two consecutive post-dated cheques are returned unpaid by the bank, the SIP will be terminated and the balance cheque(s) if any, will be returned to the investor.
- The investor will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the Registrar and Share Transfer Agent in Chennai. Notice of discontinuance should be received 7 days prior to the month that it is required to be effected in. The investor will cease to be a part of the SIP on receipt of the written request and any post-dated cheque(s) remaining with the Registrar and Share Transfer Agent or the Mutual Fund will be returned to the investor.
- SIP Load:

Load Structure For all fresh SIP transactions	Entry Load	Exit Load
Equity and Balanced Schemes	2.25%*	Nil*
Debt and Liquid Schemes	Nil	Nil

*Note: the entry load mentioned above will be applied on each transaction

SIP is available only on select dates, i.e., 1st, 7th, 14th, 20th & 25th of every month.

INSTRUCTIONS & INFORMATION
Common Transaction

- This Transaction Form can be used for all indicated transactions that an existing account holder may want to make with Sundaram BNP Paribas Mutual Fund.
- The additional purchase request should be for a minimum of Rs 500- in Sundaram BNP Paribas Growth Fund, Sundaram BNP Paribas Select Midcap, Sundaram BNP Paribas Select Focus, Sundaram BNP Paribas Tax Saver, Sundaram BNP Paribas India Leadership Fund, Sundaram BNP Paribas Balanced Fund, Sundaram BNP Paribas S.M.I.L.E Fund, Sundaram BNP Paribas CAPEX Opportunities Fund and Sundaram BNP Paribas Rural India Fund.
- For redeeming units, in case the balance in your account does not cover the amount of the request, the account shall be closed and the entire such (lesser) amount shall be sent to you.
- For Systematic Investment Plan (SIP), kindly provide the total number of cheques along with the frequency, SIP date and amount.
- For Systematic Withdrawal Plan (SWP)/ Systematic Transfer Plan (STP), kindly provide the SWP/SSTP amount you would like to redeem / Transfer, the frequency and date.
- If you have selected the Dividend Plan, please mention the Dividend Option also (reinvestment or payout).
- All cheques and drafts should be drawn in favour of Scheme Name and crossed A/c Payee only. For example, Sundaram BNP Paribas Growth Fund.
- Please note that cash investments will not be accepted. Please indicate the name of the investor, scheme and option opted for as well as the application number on the reverse of the cheque / demand draft.
- If the mode of holding is Any one Survivor – One of the holders can sign the request and if the mode of the holding is joint, all the unit holders must compulsorily sign the transaction form, otherwise the application is liable to be rejected.