

**Common Application Form for Equity, Monthly Income and Balanced Schemes**

**For sale of Units of Tata Mutual Fund Scheme(s) to Resident/ Non-Resident Indians.**  
Please refer complete details on all page(s) and scheme description/details while applying.

**Fort House, 221, D. N. Road, Fort, Mumbai – 400001.**

**Trustee:** Tata Trustee Company Pvt. Ltd. **AMC :** Tata Asset Management Ltd.

**Sr. No. :**

**ISSUE OF UNITS OF TATA MUTUAL FUND SCHEMES OF FACE VALUE OF RUPEES 10/- EACH AT NAV BASED RESALE PRICE  
WITH APPLICABLE LOAD FOR ONGOING SALE**

Refer complete scheme details/instructions while filling in application form in English BLOCK LETTERS. Tick (✓) whichever is applicable.  
Strike out which is not required.

Broker/Agent Code	Sub-Broker Code	Bank/Branch	Serial No.

Existing Folio No. :

**UNITHOLDER INFORMATION**

a. Name of First Applicant																				
	Please mention your name as it appears in your Bank Account															Date of Birth (DD/MM/YYYY)				
Company																Name of the contact person				
Name of Second Applicant																				
Name of Third Applicant																				
Father/Husband's Name (First Applicant)																				
Name of Guardian																				
b. Mode of Holding	<input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor <b>Default Option: Joint.</b>																			
c. Mailing Address																				
	Pin Code								City											
	State :						Country :						E-mail * :							
	* I/ We Wish to Receive Account Statement / Annual Report / Other communication through Email in lieu of the physical copy of the same    Yes <input type="checkbox"/> No <input type="checkbox"/>																			
d. Contact Particulars	Telephone : Office								Fax											
	Residence								Mobile No.											
e. Occupation	<input type="checkbox"/> Agriculture <input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Other																			
f. Are you applying as	<input type="radio"/> Resident Individual <input type="radio"/> NRI/NRO <input type="radio"/> NRI/NRE <input type="radio"/> AOP <input type="radio"/> FII <input type="radio"/> Body Corporate <input type="radio"/> Trust <input type="radio"/> Partnership <input type="radio"/> Public Ltd. Co. <input type="radio"/> On behalf of Minor <input type="radio"/> HUF <input type="radio"/> FOF <input type="radio"/> Proprietorship <input type="radio"/> BOI <input type="radio"/> Pvt. Ltd. Co. <input type="radio"/> Societies    Others_____																			
g. If you are an NRI/FII, the RBI requires us to obtain an overseas address. Please mention it here.																				
	I/We confirm that I am/We are non residents of Indian nationality / origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR account.																			

Nominee Name \_\_\_\_\_ Date of Birth \_\_\_\_\_  
Relationship \_\_\_\_\_ Guardian details (if nominee is a minor) Name & Address \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signature of Guardian

## PLEASE CHOOSE THE SCHEME/S AND OPTION FOR INVESTMENT

- |  |                              |   |   |
|--|------------------------------|---|---|
| <input type="checkbox"/> Tata Equity Opportunities Fund (EO)       | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Pure Equity Fund (PE)                | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Select Equity Fund (SS)              | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Life Sciences & Technology Fund (LS) | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Equity P/E Fund (EPE)                | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Dividend Yield Fund (DY)             | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Infrastructure Fund (IS)             | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Mid Cap Fund (MC)                    | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Balanced Fund (BF)                   | <input type="radio"/> Growth | <input type="radio"/> Dividend/ Bonus Payout                      | <input type="radio"/> Dividend Reinvestment |
| <input type="checkbox"/> Tata Growth Fund (GR)                     | <input type="radio"/> Growth | <input type="radio"/> Bonus <input type="radio"/> Dividend Payout | <input type="radio"/> Dividend Reinvestment |

**Default Option:** Growth / Reinvestment

- ☐ Tata Tax Saving Fund (TS) ☐ Dividend/ Bonus Payout ☐ Dividend Reinvestment

**Default Option:** Growth / Reinvestment

- |  |                                     |                                      |
|--|-------------------------------------|--------------------------------------|
| <input type="checkbox"/> Tata Index Fund | <input type="checkbox"/> Nifty Plan | <input type="checkbox"/> SENSEX Plan |
|  | <input type="radio"/> Option A      | <input type="radio"/> Option A       |

**Default Option:** Nifty / Option A

- |  |   |   |                                 |
|--|---|---|---------------------------------|
| <input type="checkbox"/> Tata Monthly Income Fund (MF) | <input type="checkbox"/> Monthly Dividend                       | <input type="checkbox"/> Quarterly Dividend                     | <input type="checkbox"/> Growth |
|  | <input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="radio"/> Payout <input type="radio"/> Reinvestment |                                 |

**Default Option:** Growth / Quarterly / Reinvestment

- |   |   |   |   |                                 |
|---|---|---|---|---------------------------------|
| <input type="checkbox"/> Tata Monthly Income Plus Fund (MP) | <input type="checkbox"/> Monthly Dividend                       | <input type="checkbox"/> Quarterly Dividend                     | <input type="checkbox"/> Half Yearly Dividend                   | <input type="checkbox"/> Growth |
|   | <input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="radio"/> Payout <input type="radio"/> Reinvestment | <input type="radio"/> Payout <input type="radio"/> Reinvestment |                                 |

**Default Option:** Growth / Quarterly Income / Reinvestment

## APPLICATION MONEY DETAILS (Cheque / DD to be drawn in the name of the Scheme)

*Please enclose separate Cheques/Demand Draft for each scheme. \* DD charges to be borne by AMC if investor doesn't fall within ISCs.*

<b>Scheme Name</b> _____	
Gross Amount in Rs. <input style="width: 150px;" type="text"/>	DD Charges Rs. (if any)* <input style="width: 50px;" type="text"/>
Net Amount in Rs. <input style="width: 150px;" type="text"/>	
Amount of Investment(Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ____/____/____	

<b>Scheme Name</b> _____	
Gross Amount in Rs. <input style="width: 150px;" type="text"/>	DD Charges Rs. (if any)* <input style="width: 50px;" type="text"/>
Net Amount in Rs. <input style="width: 150px;" type="text"/>	
Amount of Investment(Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ____/____/____	

<b>Scheme Name</b> _____	
Gross Amount in Rs. <input style="width: 150px;" type="text"/>	DD Charges Rs. (if any)* <input style="width: 50px;" type="text"/>
Net Amount in Rs. <input style="width: 150px;" type="text"/>	
Amount of Investment(Rs in Words) _____	
Drawn on Bank _____ Branch _____ Cheque/DD No. _____ Dated ____/____/____	

## INCOME TAX DETAILS OF ALL THE UNITHOLDERS (Mandatory) please refer instruction E

FIRST UNITHOLDER	SECOND UNITHOLDER	THIRD UNITHOLDER
PAN/GIR No. <input style="width: 100%;" type="text"/>	PAN/GIR No. <input style="width: 100%;" type="text"/>	PAN/GIR No. <input style="width: 100%;" type="text"/>

**Kindly enclose copy of the proof of PAN/ Form 60.** Any person who does not have a permanent account number and who enters into any transaction specified in this rule shall make a declaration in Form No. 60 giving therein the particulars of such transaction.  
In case of a joint holding, PAN / Form No. 60 of all the joint holders should be mentioned in the application form.

**YOUR BANK ACCOUNT DETAILS (Mandatory) please refer instruction F**

All communication and payments will be made to the first applicant or to the Karta in case of HUF.

<b>Name of Your Bank</b>	Branch														
<b>Your Account No.</b>															
<b>Account No. (in words)</b>															
<b>Bank Address</b>															
	City		State		PIN					MICR Code					
<b>Account Type</b>	<input type="checkbox"/> Savings	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRNR	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR									

Bank account details of First Unitholder and for application amount Rs. 50000/- and more, the PAN no. of all the unitholders is required without which the application would be rejected.

Example for filling the Account number:

Ac. No.			9	7	4	6	1	5	2
In words			Nine	Seven	Four	Six	One	Five	Two

**DIRECT CREDIT FACILITY FOR REDEMPTION / DIVIDEND**Tata Mutual Fund directly credits the Dividends /Redemption into the investor Bank Account in case the account is with **ICICI Bank Ltd./HDFC Bank Ltd./UTI Bank /IDBI Bank/Standard Chartered Bank/Kotak Bank/HSBC Bank/Deutsche Bank.**

I / We understand that the instruction to the bank for Direct Credit/ ECS will be given by the Mutual Fund and such instruction will be adequate discharge of Mutual Fund towards redemption/dividend proceeds. In case of bank not crediting my/our bank account with/without assigning any reason thereof or if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Tata Mutual Fund responsible. I/We understand that in case account number furnished by me/us, if found incorrect, I/We would not hold Tata Mutual Fund responsible for the credit going to the wrong account. Further, the Mutual Fund reserves the right to issue a demand draft/payable at par cheque in case it is not possible to make payment by DC/ECS.

If however you wish to receive cheque payout, please tick here ☐**DOCUMENTS TO BE SUBMITTED (Please tick (✓) whichever is applicable)**

- |  |   |  |
|--|---|--|
| 1) <input type="checkbox"/> Memorandum & Articles of Association (Corporate) | 2) <input type="checkbox"/> Board Resolution (Corporate)            | 3) <input type="checkbox"/> Authorised signatories list  |
| 4) <input type="checkbox"/> Trust Deed (in case of a trust)                  | 5) <input type="checkbox"/> Partnership Deed (for partnership firm) | 6) <input type="checkbox"/> Copy of the PAN card of all unitholders (for investment of Rs. 50,000/- and above) |

**DECLARATION AND SIGNATURES**

To - The Trustee, Tata Mutual Fund, Mumbai.

Having read and understood the contents of Offering Circular of Tata Mutual Fund Scheme/s, I/We hereby apply for the respective Units of Tata Mutual Fund Scheme/s at NAV based resale price and agree to abide by the terms, conditions, rules and regulations of scheme/s.

We hereby confirm and certify that the source of these funds is not directly / indirectly a result of "proceeds of crime" as defined in "The Prevention of Money Laundering Act, 2002" and we undertake to provide all necessary proof / documentation if any required, to substantiate the facts of this undertaking. "I/We have understood the details of the scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment." I/We Authorise the Fund to disclose details of my/our account and all my/our transactions to the intermediary whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Fund's and investor's bankers for the purpose of effecting payments to me/us

Sr. No. :

Holder	Name of the Holder/s	Signature(s) / Thumb Impression(s) (Refer Instruction number C (4) on page 18)
First / Sole Applicant		
Second Applicant		
Third Applicant		

**Tata Mutual Fund "Acknowledgment Slip" (To be filled in by Investor)**

Sr. No. :

Received application from Mr./Mrs./Master/M/s \_\_\_\_\_

Address : \_\_\_\_\_

PIN \_\_\_\_\_

Signature of Authorised Staff and Office  
Seal/Stamp along with Date and Time of Receipt

Name of the scheme/s	Application for amount in Rs. (A)	DD charges (if any) in Rs. (B)	Net Amount or DD/ Pay Order drawn for Rs. (A-B)	Cheque/ DD/ Pay Order no.	Cheque/ DD/ Pay Order Dated	DD/ Cheque/ Pay Order drawn on-bank and branch (name)

Current load structure is enclosed