

Principal Pnb Asset Management Company Private Limited

Investment Manager for **Principal Mutual Fund**

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COMMON TRANSACTION FORM

Sole/First Holder : _____
Second Holder : _____
Third Holder : _____
Common A/c No.: _____ Date : _____

Registrar's Stamp & Date
Date : _____
Broker Code : _____
Broker Name : _____

Scheme _____ **Plan** _____ **Option*** _____

1. Additional Purchase I/We want to make additional purchases <i>Please Refer Instruction No. 2 overleaf</i> Cheque/DD No _____ for Rs _____ dated _____ drawn on _____ DD Charges Rs _____ Gross Amount# Rs (in figures) _____ Gross Amount* Rs (in words) _____ # Cheque/DD + DD Charges	2. Systematic Investment Plan (SIP) I/We would like to enrol for SIP Bank Code _____ Bank A/c No _____ Bank Name & Branch _____ No. of Cheques (at least 6) _____ Amount Per Cheque Rs _____ Total Amount Rs _____ Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Cheque Nos. _____ Start Month _____ SIP Date <input type="checkbox"/> 1 st <input type="checkbox"/> 5 th <input type="checkbox"/> 15 th <input type="checkbox"/> 25 th (the date on which you want to invest)
3. Redemption I/We want to redeem _____ units or Rs (in figures) _____ Rs (in words) _____ <i>Please Refer Instruction No. 3 overleaf</i>	4. Systematic Withdrawal Plan (SWP) I/We would like to enrol for SWP Start Month _____ End Month (optional) _____ Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-annual <input type="checkbox"/> Annual SWP Amount _____ SWP date <input type="checkbox"/> 1 st <input type="checkbox"/> 11 th <input type="checkbox"/> 21 st (the date of the month on which you want to withdraw)
5. Switch / Systematic Transfer Plan (SSTP) I/We would like to switch _____ units or Rs (in figures) _____ Rs (in words) _____ To : Scheme _____ Plan _____ Option _____ Start Month _____ End Month (optional)* _____ For Systematic Transfer Plan Only (Atleast 6 Transfers): Frequency <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-annual <input type="checkbox"/> Annual SSTP Amount _____ SSTP date <input type="checkbox"/> 1 st <input type="checkbox"/> 11 th <input type="checkbox"/> 21 st (the date of the month on which you want to withdraw)	

Signature of Sole/First Unitholder	Signature of Second Unitholder	Signature of Third Unitholder	
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6. Change of Address/Telephone Number/Fax Number/E-mail Address New Details: New Address : _____ _____ City _____ Pin _____ State _____ Tel No. : Office _____ Residence _____ Fax : _____ E-mail : _____	7. Change of Bank Mandate Old Bank Account No. : _____ New Details Bank Name : _____ Branch Name/Address : _____ _____ Pin _____ Branch Code _____ Bank Account No. : _____ Bank Code _____ Account Type (✓) : <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> NRSR
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* Applicable for Dividend Plans only. Information & Instructions for all the schemes of Principal Mutual Funds is given overleaf. \$ If not specified, it will continue till availability of funds in the said account.

Signature of Sole/First Unitholder	Signature of Second Unitholder	Signature of Third Unitholder	
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Scheme	Plan		Option	Scheme	Plan		Option	Scheme	Plan		Option													
Principal Cash Management Fund - Liquid Option and Principal Cash Management Fund - Liquid Option - Institutional Plan	Growth			Principal Government Securities Fund - Investment Plan	Growth		Accumulation	Principal Junior Cap Fund	Growth															
	Dividend	Daily	Payout			Auto Earnings Payout - Qtrly	Dividend		Dividend	Payout														
		Weekly	Reinvestment						Reinvestment															
		Monthly	Sweep						Auto Earnings Payout - Hlf-yrly															
Principal Cash Management Fund - Liquid Option - Institutional Premium Plan	Growth				Principal Government Securities Fund - Savings Plan	Dividend	Quarterly	Payout	Principal Large Cap Fund	Growth														
	Dividend	Daily Weekly Monthly	Payout				Dividend	Dividend		Payout														
			Reinvestment							Reinvestment														
			Sweep					Sweep																
Principal Income Fund and Principal Income Fund - Institutional Plan	Growth		Accumulation			Principal Government Securities Fund - Provident Fund Plan	Dividend	Half Yearly	Payout	Principal Infrastructure & Services Industries Fund	Growth													
			Auto Earnings Payout - Qtrly.						Reinvestment		Dividend	Dividend	Payout											
			Auto Earnings Payout - Hlf-yrly						Sweep				Reinvestment											
													Sweep											
	Dividend	Quarterly	Payout	Dividend			Annually	Payout	Principal Global Opportunities Fund	Growth														
			Reinvestment					Reinvestment		Dividend	Dividend	Payout												
			Sweep					Sweep				Reinvestment												
												Sweep												
	Dividend	Half Yearly	Payout	Growth			Accumulation	Principal Equity Fund	Growth															
			Reinvestment				Auto Earnings Payout - Qtrly		Dividend	Dividend	Payout													
			Sweep								Reinvestment													
											Sweep													
Principal Income Fund - Short Term Plan	Growth			Principal Government Securities Fund - Focused Advantage Fund	Growth		Accumulation	Principal Index Fund	Growth															
	Dividend	Monthly	Payout				Dividend		Quarterly	Payout	Principal Balanced Fund	Dividend	Annual Dividend	Payout										
			Reinvestment							Reinvestment					Reinvestment									
			Sweep							Sweep					Sweep									
Principal Income Fund - Short Term Plan - Institutional Plan	Growth				Principal Tax Savings Fund	Dividend	Half Yearly Annually	Payout	Principal Child Benefit Fund	Growth														
	Dividend	Weekly Fortnightly Monthly	Payout					Annual NAV Rebalancing Option		Dividend	Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III								
			Reinvestment									Reinvestment					Dividend	Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III	
			Sweep									Sweep												Sweep
Principal Monthly Income Plan and Principal Monthly Income Plan - MIP Plus	Growth		Accumulation			Principal Dividend Yield Fund	Growth			Principal Pnb Fixed Maturity Plan	Growth													
			Auto Earnings Payout - Monthly								Dividend	Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III							
			Auto Earnings Payout - Qtrly																					
	Dividend	Monthly Quarterly	Payout				Principal Focused Advantage Fund	Growth										Dividend	Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III
			Reinvestment	Reinvestment								Dividend	Dividend	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II			91 Days - Series III				
			Sweep	Sweep																				
Principal Floating Rate Fund - Short Maturity Plan - Institutional Plan Regular Plan	Growth			Principal Focused Advantage Fund		Growth			Principal Pnb Fixed Maturity Plan	Growth														
	Dividend	Daily Weekly Monthly	Payout					Dividend		Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III									
			Reinvestment		Reinvestment						Dividend					Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III			
Principal Floating Rate Fund - Flexible Maturity Plan - Institutional Regular Plan	Growth				Principal Focused Advantage Fund	Growth			Principal Pnb Fixed Maturity Plan	Growth														
	Dividend	Weekly Monthly	Payout					Dividend		Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III									
			Reinvestment								Reinvestment					Dividend	Half Yearly Annually	Payout	Principal Pnb Fixed Maturity Plan	91 Days - Series I	91 Days - Series II	91 Days - Series III		

Instructions

- This Transaction Form can be used for all indicated transactions that an existing account holder may want to make with Principal Mutual Fund.
- The additional purchase request should be for a minimum of Rs 5,000/- in Principal Global Opportunities Fund, Rs 1,000/- in Cash Management Fund - Liquid Option, Rs. 1 lakh in Floating Rate Fund - Short Maturity Plan - Institutional Plan, Floating Rate Fund - Flexible Maturity Plan - Institutional Plan, Cash Management Fund - Liquid Option - Institutional Plan, Cash Management Fund - Liquid Option - Institutional Premium Plan, Income Fund, Income Fund - Short Term Plan and Rs.500 in all other schemes.
- For redeeming units, in case the balance in your account does not cover the amount of the request, the account shall be closed and the entire such (lesser) amount shall be sent to you.
- For Systematic Investment Plan (SIP), kindly provide the total number of cheques alongwith the frequency and SIP date.
- For Systematic Withdrawal Plan (SWP)/Systematic Transfer Plan (SSTP), kindly provide the SWP/SSTP amount you would like to redeem/transfer, the frequency and date. In case the balance in your account does not cover the amount of the SWP/Transfer, the account shall be closed and the entire such (lesser) amount shall be sent/transferred to you.
- If you have selected the Dividend Plan, please mention the Dividend Option also.
- All cheques and drafts should be drawn in favour of "Principal + Scheme Name" and crossed "A/c Payee only". For example, "Principal Growth Fund".
- Units will be allotted on the date of receipt of credit for outstation cheques.