



# LIC MUTUAL FUND

Industrial Assurance Bldg., 4th Floor, Opp. Churchgate Station, Mumbai - 400 020.  
 Phone : 2284 2521/22851661/63, Fax : 2204 0039/2288 0633, Website : www.licmutual.com.  
 (Please use separate Enrolment Form for each scheme. A photocopy of this form is valid)

## Systematic Investment Plan through Cheque

New Investor\*  Existing Investor (please tick as applicable)

I/We hereby apply to the LIC MUTUAL FUND TRUSTEE CO. PVT.LTD for a Systematic Investment Plan (SIP) through postdated cheque payment under the following Scheme and agree to abide by the terms, conditions, rules and regulations of the scheme(s) mentioned overleaf as on the date of this investment.

Name of Sole / First Account holder

Folio/Account Number (For existing Investors)

(\*New Investors are required to complete and submit a Common Application Form also)

### SIP Details

Scheme

Plan  Option

Frequency  Monthly  Quarterly (please tick as applicable)

Amount of each SIP cheque  (minimum SIP Amount per cheque should be Rs. 500/-, conditions apply\*)

SIP Date  1st  7th  10th  15th

Cheque No. (s)	Dated 1/7/10/15 of every month / quarter	Amount (Rs.)	Cheque No. (s)	Dated 1/7/10/15 of every month / quarter	Amount (Rs.)
1. ....	...../...../.....	.....	7. ....	...../...../.....	.....
2. ....	...../...../.....	.....	8. ....	...../...../.....	.....
3. ....	...../...../.....	.....	9. ....	...../...../.....	.....
4. ....	...../...../.....	.....	10. ....	...../...../.....	.....
5. ....	...../...../.....	.....	11. ....	...../...../.....	.....
6. ....	...../...../.....	.....	12. ....	...../...../.....	.....

\* Total Cheque  Total Amount **Rs.**

Enrolment Period FROM ..... / ..... (mm/yy) TO ..... / ..... (mm/yy)

Drawn on Bank

Branch

### DECLARATION AND SIGNATURES

I/We have read and understood the contents of the offer document of the scheme wherein Systematic Investment Plan is opted and also the instructions on the SIP given overleaf.  
 I/We have neither received nor been induced by any rebate or gift, directly or indirectly, in making the investment.  
 I/We hereby authorise the fund to act as per the details above.

SIGNATURES Sole / First Applicant  Second Applicant  Third Applicant

(All applicants shall sign if the mode of holding is joint)

\* Kindly refer terms & conditions no. 23 given overleaf.

## SYSTEMATIC TRANSFER PLAN (STP) ENROLMENT FORM (Read Instructions overleaf)

Name of Sole / First Applicant (Leave space between first / middle / last name)

Salutation  Mr.  Ms.

Application Number

Folio/Account Number (for existing investor)

Transfer From :

Scheme Name

Plan

Amount

OR Capital Appreciation

Frequency :  WEEKLY  MONTHLY  QUARTERLY  HALF YEARLY

Transfer To :

Scheme Name

Folio/Account Number (for existing investor)

Plan

SIGNATURES Sole / First Applicant  Second Applicant  Third Applicant

### TERMS & CONDITIONS (SIP THROUGH CHEQUE)

- SIP is not available during the IPO period of the scheme.
- SIP enrolment has to be filled in with details of advance cheques deposited along with a fresh application for SIP.
- The enrolment form given overleaf shall be filled properly and completely.
- Use one form for one scheme. A photocopy of the form may be used for this purpose.
- Non MICR / Outstation post dated cheques will not be accepted. SIP requests are accepted at all our area offices / business centres only.
- Post dated cheques have to be deposited along with request for SIP. Multiple cheques for the same date are not allowed.
- The cheque numbers of the post dated cheques shall be continuous without any break. If cheques are to be drawn on more than one bank then for each bank the details are to be provided.
- All cheques are to be dated for either 1/7/10/15 of every month. The first cheque may be dated according to the date of application.
- The amount of each post dated cheque should be the same.
- The cheques shall be drawn in favour of the scheme clearly.
- The aggregate SIP investments shall not be below minimum investment requirement for the scheme.
- After completion of accounting of the initial post dated advance cheques submitted by the investor, a fresh set of advance cheques may be tendered again under the same folio.
- The post dated cheques will be deposited for collection on the designated date of the cheque. There will be no separate intimation at that time. In case of cheque dishonor at any time when they are due for lodgement, Rs. 100/- will be debited to the investor account. This will be debited in terms of units with NAV of the day when the bank informs of the cheque dishonor.
- The applicant shall write the application number / folio number on the back side of each advance cheque.
- Separate folio will be allotted for units purchased under SIP. Non SIP purchases or stray purchases are not allowed under SIP folio.
- Consolidation of folios - among SIP or SIP & non - SIP is not allowed.
- NAV of the date of the postdated cheque will be applied for purchase of units. If there is no NAV on the cheque date, the NAV of the following business day as per the rules in force at that point of time will be applied.
- SIP requests are accepted at all our area offices / business centres. The names of the area offices / business centres may be obtained from any of our chief agents / agents, from the statement of account, or from the website www.licmutual.com
- A minimum balance of units worth Rs. 1000 shall be maintained at all times during the currency of the plan.
- Investors may discontinue SIP plan at any time by sending a clear notice of 30 days from the due date of the first unadjusted. The notice in writing shall reach the designated centre. On termination, the balance post dated cheques will be returned to the investor.
- The main application form for SIP shall accompany the enrolment form.
- If there is ambiguity in the details provided or if the application for SIP is incomplete or incomprehensive, SIP will be rejected and there will be no separate communication on rejection.
- Minimum investments:#

SIP Mode	Amount of post dated cheques	Min. no. of post dated cheques required	Min. initial subscription amount
Monthly	From Rs. 500/- upto Rs. 1000/-	11	Rs. 500/-
	> Rs. 1000/-	5	Rs. 1000/-
Quarterly	Minimum Rs. 1000/-	3	As per offer document & subsequent addendum

# Are subject to changes at short notice. For details visit our website or contact at any of our Area offices/Business Centres

- If each SIP amount is >= to Rs. 50000 then please furnish your PAN details and enclose a photocopy of PAN Card/PAN Allotment Letter/Tax refund of all applicants. In case of minor applicant, please furnish the PAN details & PAN proof of the guardian. In case you are not having a PAN, please furnish your declaration in FORM 60.

### TERMS & CONDITIONS (STP)

- Any new investor who wish to opt for Systematic Transfer Plan should fill this form separately along with the main application form quoting all the details clearly in this form; whereas existing investor can fill only this enrollment form giving their name, folio number, and details related to systematic transfer.
- Systematic Transfer Plan will not be available for schemes with lock-in period in it during stipulated lock-in period respectively.
- Transfer will be effected on the first business day of the month based the frequency of transfer opted for in this form.
- Please mention the scheme name from which and to such transfer is to be effected with clearly mentioning the plan name. If you don't have account in the scheme in which you want to transfer you money, please fill the separate common application form to open an account in the scheme.
- Each transfer will be treated as redemption from the scheme from which funds are to be transferred and repurchase price will be calculated taking into account the applicable load, if any.
- The plan will automatically be terminated once there are no units in the account from which transfer is to be effected. An investor can change the amount / frequency of transfer with the help of written request to any of our agents.
- Fresh account statement will be sent to the investor once the transfer is affected.